



Sheet 1 of 1

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| FORM PTO-1449 (SUBSTITUTE)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(37 CFR 1.98(b)) |  | Attorney Docket No.:<br>GR 00 P 1281 | Applic. No.<br>09/783,187 |
|  |  | Applicant<br>Harald Bachhofer et al. |                           |
|  |  | Filing Date<br>February 14, 2001     | Group Art Unit<br>2822    |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS |   | PATENT NO.         | DATE     | PATENTEE       | CLASS | SUB CLASS | FILING DATE |
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|                   | A | 2001/0038117<br>A1 | 11/08/01 | Haneder et al. |       |           |             |
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|                   | E |                    |          |                |       |           |             |
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|                   | H |                    |          |                |       |           |             |
|                   | I |                    |          |                |       |           |             |

## FOREIGN PATENT DOCUMENT

|  |   | DOCUMENT NO.  | DATE     | COUNTRY | CLASS | SUB CLASS | TRANSL. YES   NO |
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|  | L |               |          |         |       |           |                  |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| EXAMINER<br> | DATE CONSIDERED<br>5/3/03 |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (SUBSTITUTE)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(37 CFR 1.98(b))

Attorney Docket No.: GR 00 P 1281      Applic. No. 09/783,187

Applicant  
Harald Bachhofer et al.Filing Date      Group Art Unit  
February 14, 2001

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS |   | PATENT NO. | DATE     | PATENTEE  | CLASS | SUB CLASS | FILING DATE |
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|                   | F |            |          |           |       |           |             |
|                   | G |            |          |           |       |           |             |
|                   | H |            |          |           |       |           |             |
|                   | I |            |          |           |       |           |             |

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|--|---|--------------|------|---------|-------|-----------|------------------|
|  | J |              |      |         |       |           |                  |
|  | K |              |      |         |       |           |                  |
|  | L |              |      |         |       |           |                  |
|  | M |              |      |         |       |           |                  |
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|   | O | Takashi Nakamura et al.: "A Single-Transistor Ferroelectric Memory Cell", 1995 IEEE International Solid-State Circuits Conference, pp. 68-69   |
|   | P | Jong-Son Lyu et al.: "Metal-Ferroelectric-Semiconductor Field-Effect Transistor (MFSFET) for Single Transistor Memory by Using Poly-Si Source/Drain and BaMgF <sub>4</sub> Dielectric", IEDM 1996, pp. 503-506 |
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